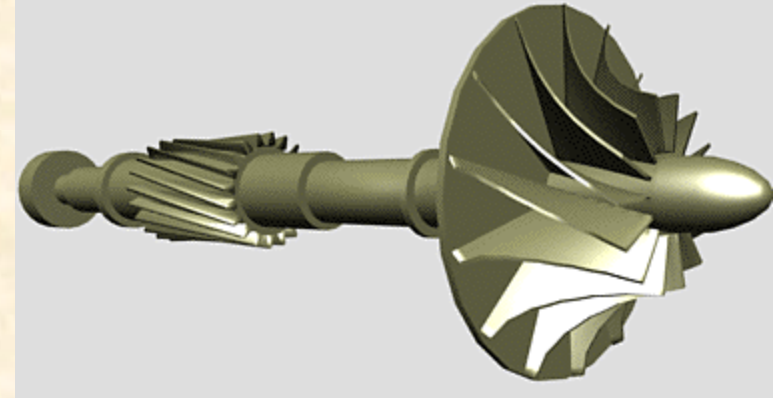


# Introduction to tribology, rotordynamics & lubricated elements



**Dr. Luis San Andres**

Mast-Childs Chair Professor

Turbomachinery Laboratory

Texas A&M University

[Lsanandres@tamu.edu](mailto:Lsanandres@tamu.edu)

# Tribology?

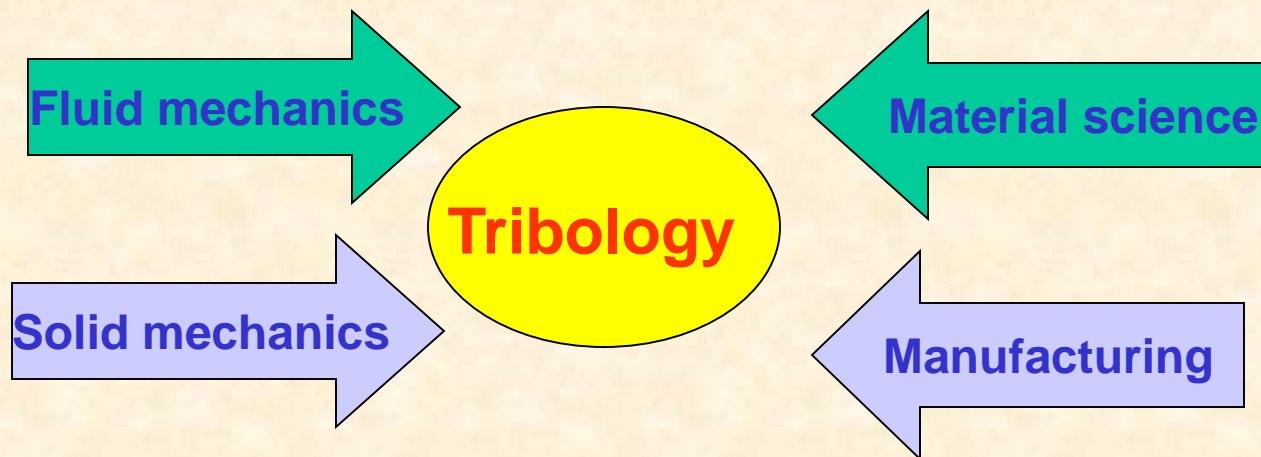
**What is it for?**

**...**

**Will I ever use it?**

**Tribology** embodies the study of  
friction, lubrication and wear.  
and involves mechanical processes (motion & deformation).

A **tribologist** performs engineering work to predict and improve the performance (how much) and reliability (for how long) of a mechanical system.



# Tribology – Some Applications Today

## Exo-skeletons & sports equipment

compliant, durable, tough, better performance  
(less friction and less wear)



Surface  
engineering,  
materials.

## Medicine:

Hip-joint replacements,  
miniature pumps for fluid injection/removal,  
heart pumps and implants,  
1 MRPM dental hand drills



Surface  
engineering,  
materials, 3D print  
Solid lubricants.



Gas  
hydrodynamics

## Ultra-hard drilling equipment:

no wear and tear, i.e. infinite life

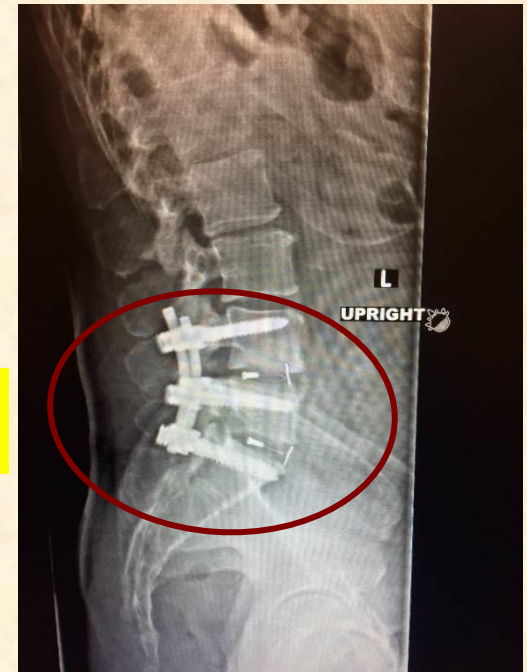
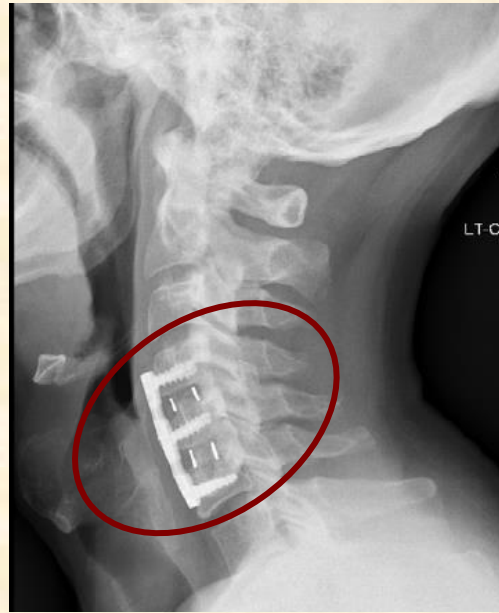


Solid lubricants  
(coatings)  
Surface  
engineering

**Dr. San Andres moves from being  
a pain in the neck**

**... to being screwed**

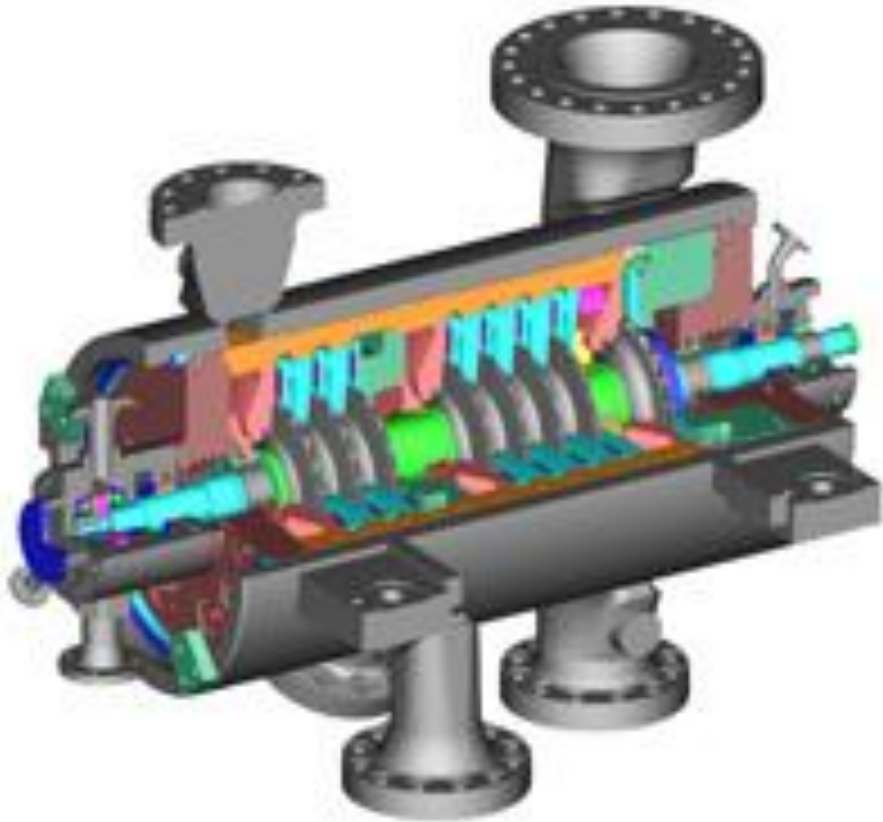
**... to being  
crushed**



**Friction, lubrication & wear**

**Is the order important?**

# Turbomachinery



**today  
& tomorrow**

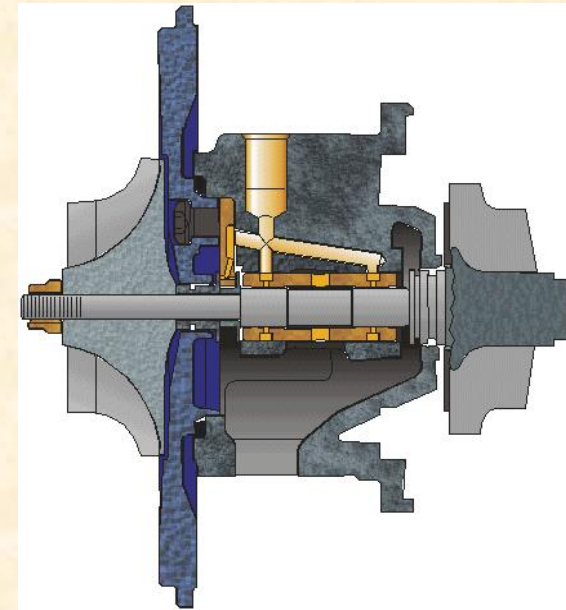


# Turbomachinery

A rotating structure where the load or the driver handles a process fluid from which power is extracted or delivered to.

Fluid film bearings (typically oil lubricated) support rotating machinery, providing stiffness and damping for vibration control and stability.

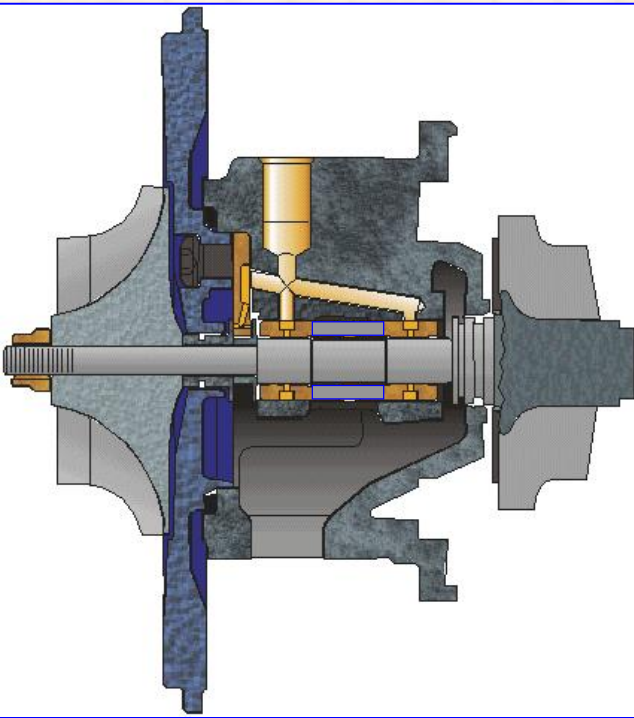
In a pump or a compressor, neck ring seals and inter stage seals and balance pistons also react with dynamic forces. Impellers also act to impose static and dynamic hydraulic forces.



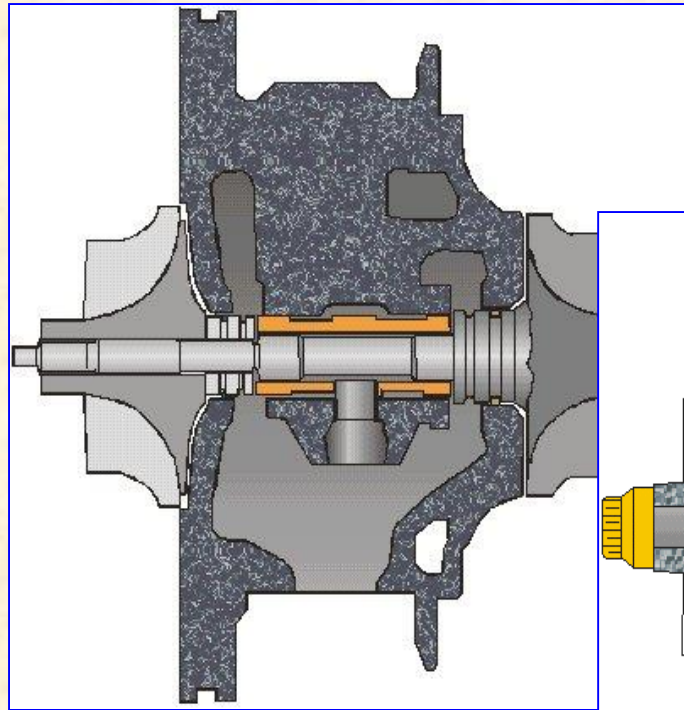
**Acceptable rotordynamic operation of turbomachinery:**

**Ability to tolerate normal (even abnormal transient) vibrations levels without affecting TM overall performance (reliability and efficiency)**

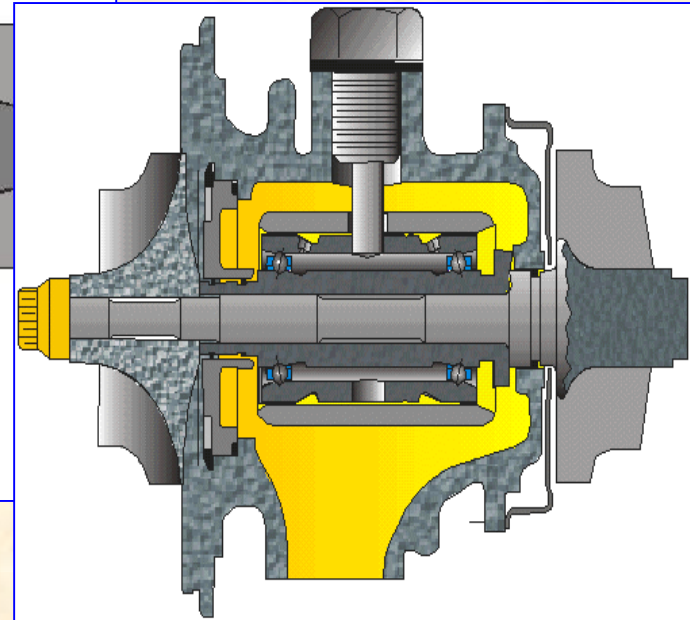
# PV/CV turbochargers



w/  
Fully Floating Bearing



w/  
Semi Floating Bearing



w/  
Ball Bearing

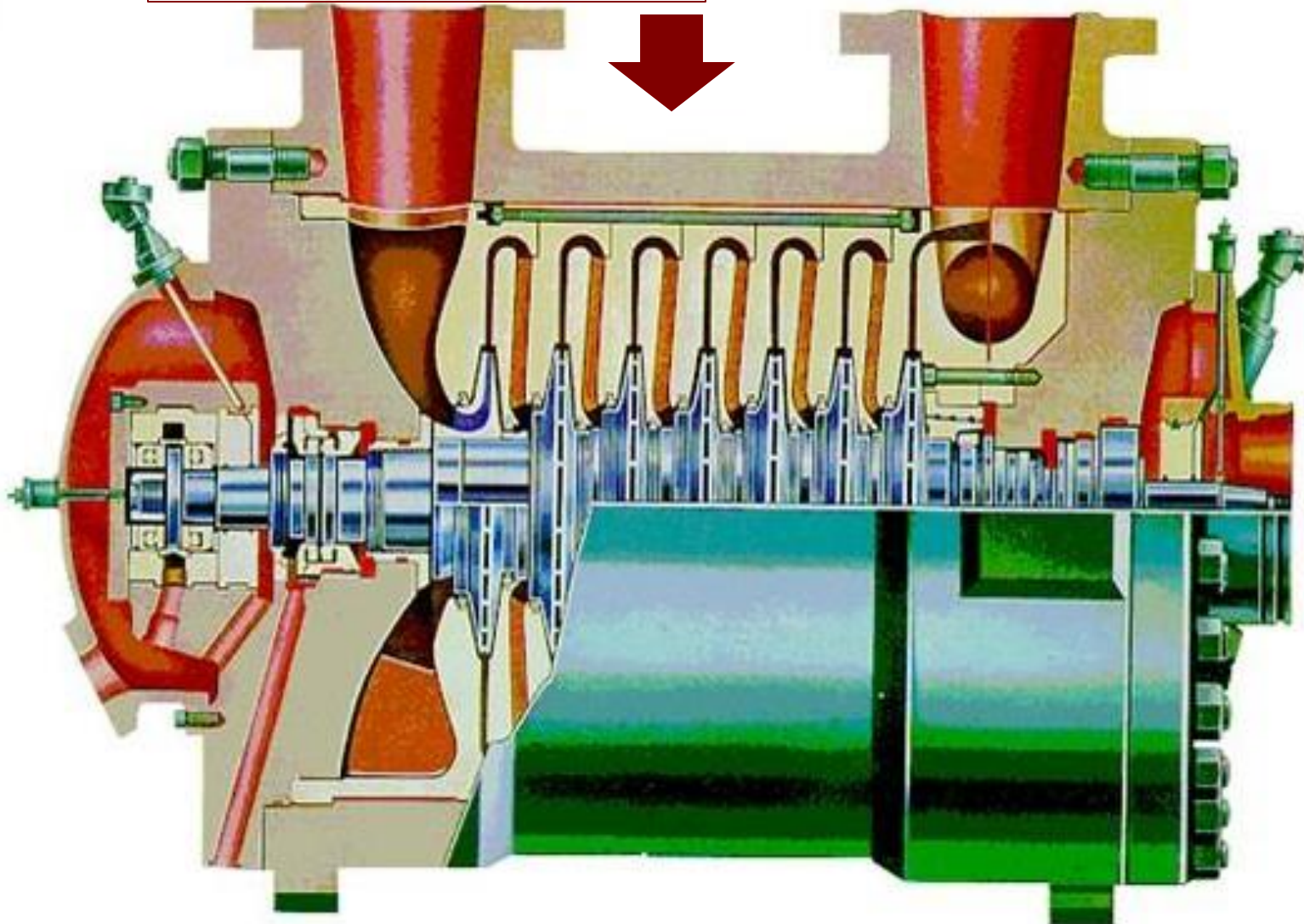
The future today





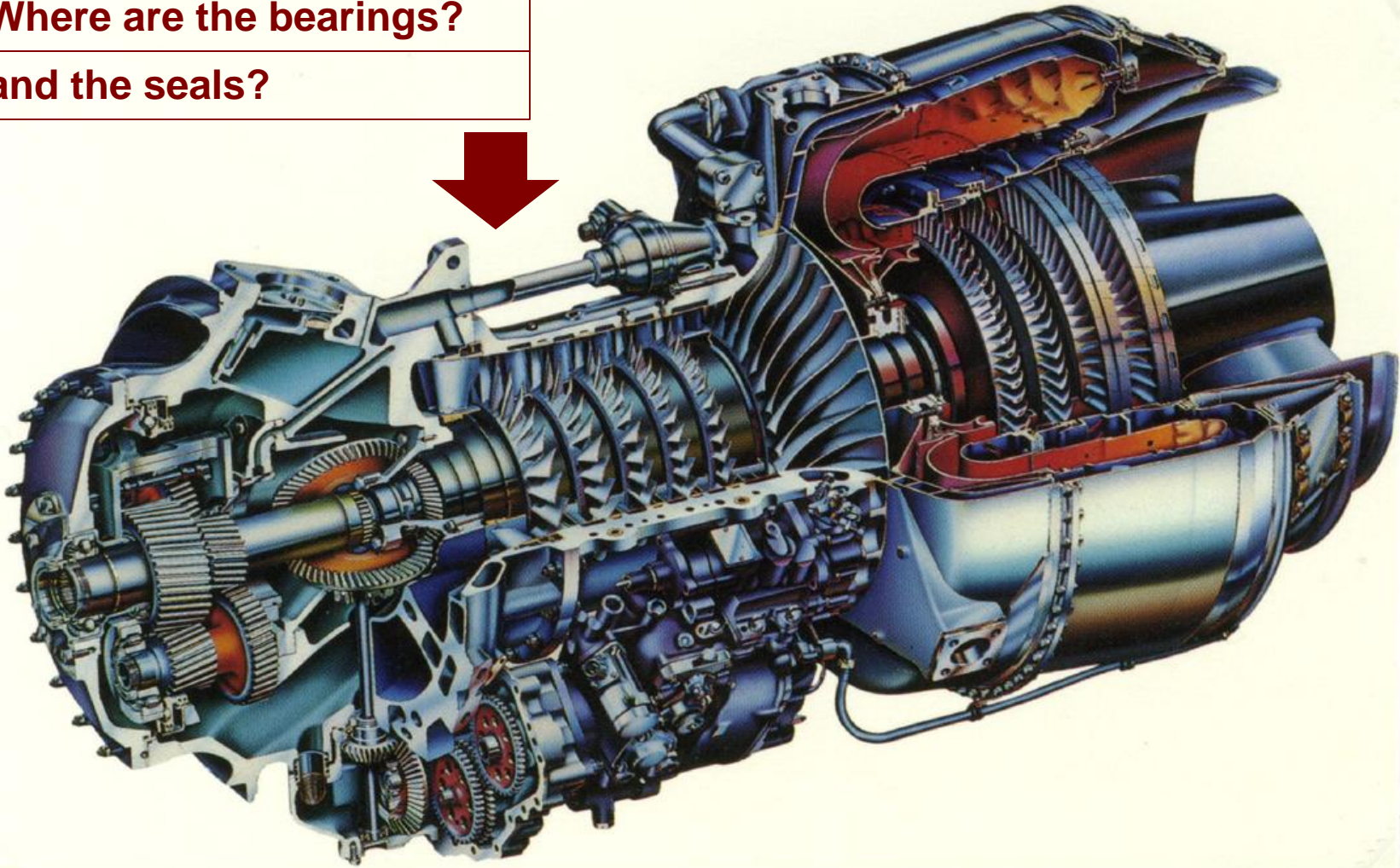
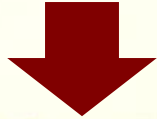
# Centrifugal compressor

Where are the bearings?  
and the seals?



# Gas turbine

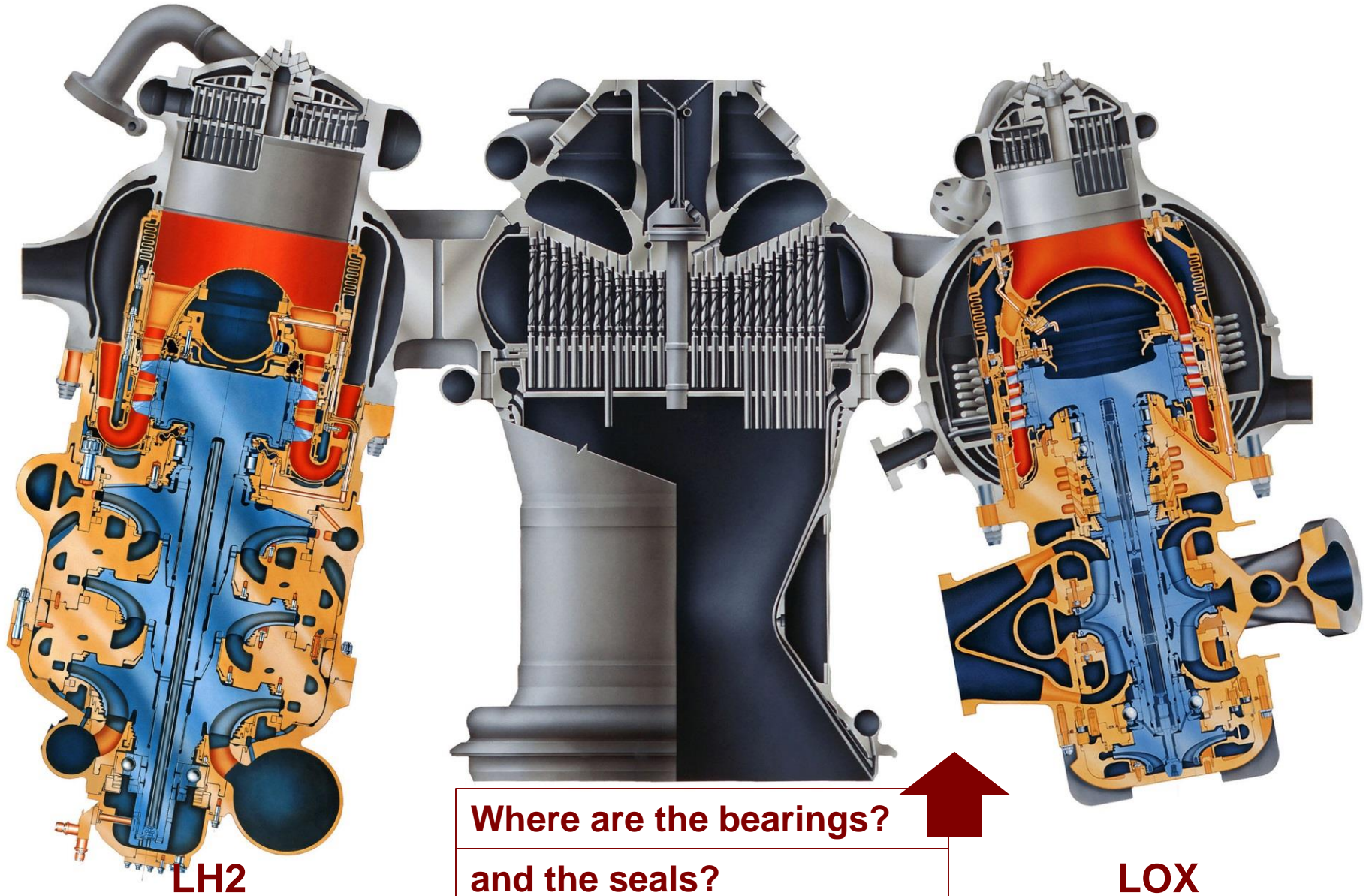
Where are the bearings?  
and the seals?



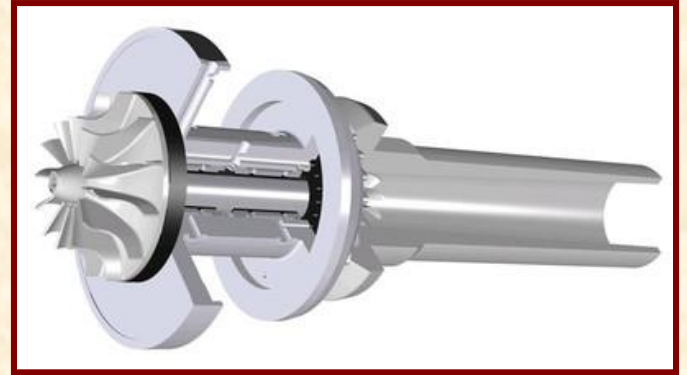
Source: Avco Lycoming



# SSME turbopumps



# 21<sup>st</sup> century turbomachinery



# 21<sup>st</sup> century turbomachinery

## Ultra-performance (reInjection)

**compressors:** > 15,000 psi (1,000 bar)



Rotordynamics,  
materials, seals,  
extreme environments

## Combined cycle turbines (gas/steam):

**Concentrated solar power: sCO<sub>2</sub>**

efficiency > 60%



composite materials,  
coatings,  
extreme environments

## Aircraft: Larger high-bypass geared turbofans (GR>5)

### Electric distributed propulsion systems

**GTs → batteries → electric fans for thrust**

Larger efficiency & lower noise. Braking regenerative power



Rotordynamics,  
Electronics,  
Materials & Coatings,  
SFDs

## Unmanned Aerial Vehicles (Drones):

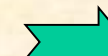
war at a distance & w/o casualties,  
surveillance, parcel mail delivery, crop fumigation, archeology



E-motors, materials,  
3D printing,  
controls and  
electronics.

## Smart engines and structures:

control of surge and stall in compressors,  
elimination of Noise & Vibes with configuration changes



Materials,  
3D printing  
rotordynamics

## Reusable rocket engines:

LH<sub>2</sub> and LO<sub>x</sub> with fluid film bearings



# Subsea pumping & compression

## High pressures & extreme temperatures

Subsea Engineering or SURF –

**S**ubsea  
**U**mbilicals  
**R**isers)  
**F**lowlines

Wet compression systems  
must be reliable (5 y operation)



**Meso-micro turbomachinery:**  
portable packs (5 kW), 1 million rpm



Rotordynamics,  
3D printing,  
materials

**Oil-free gas turbines and generators:**  
(mid size to 0.5 MW): foil gas bearings, damper seals.



coatings: solid lubes  
gas lubrication &  
rotordynamics

# Microturbomachinery needs & hurdles

Largest power to weight ratio  
Compact & low # of parts

Reliability and efficiency  
Low maintenance

Extreme temperature and  
pressure – multiple phases

Environmentally safe  
(low emissions)

Lower lifecycle cost (\$ kW)

## High speed

Rotordynamics &  
(Oil-free) Bearings & Sealing

## Materials

Coatings: for low friction and wear  
Ceramic rotors and components

## Manufacturing

Automated agile processes  
Additive manufacturing: \$ & #

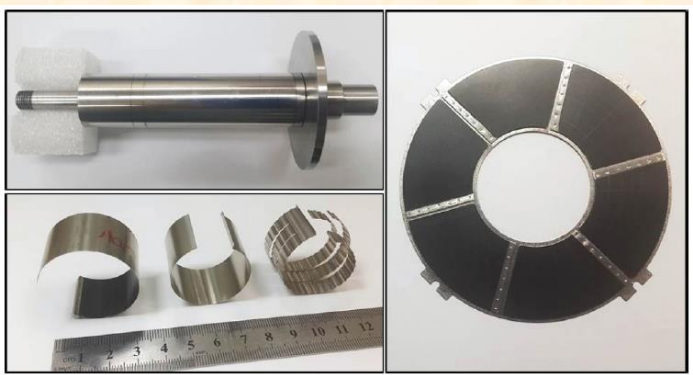
## Processes & Cycles

Low-NOx combustors for liquid &  
gas fuels. Scaling to low Reynolds #

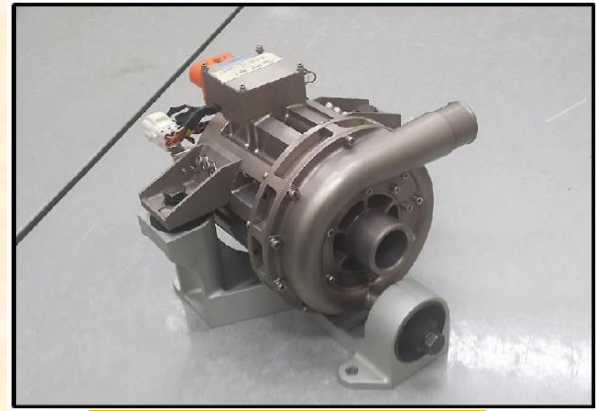
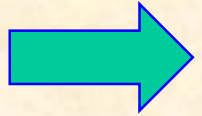
**Fuels** Best if free (bio-fuels)

# H2 Fuel Cell Electrical Vehicles

Oil-free system offers higher efficiency and power density.

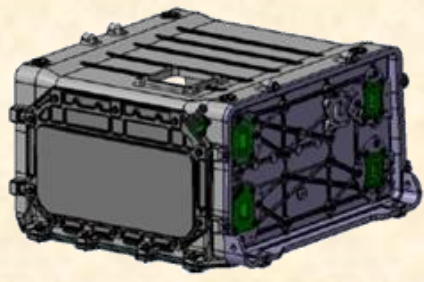
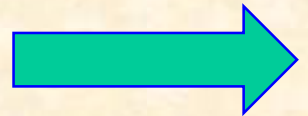


**Rotor supported on gas bearings**

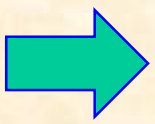


**Air compressor**

**Compressed air  
blows into Fuel Cell stack**



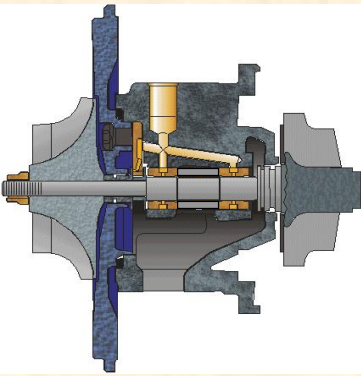
**Fuel cell stack**



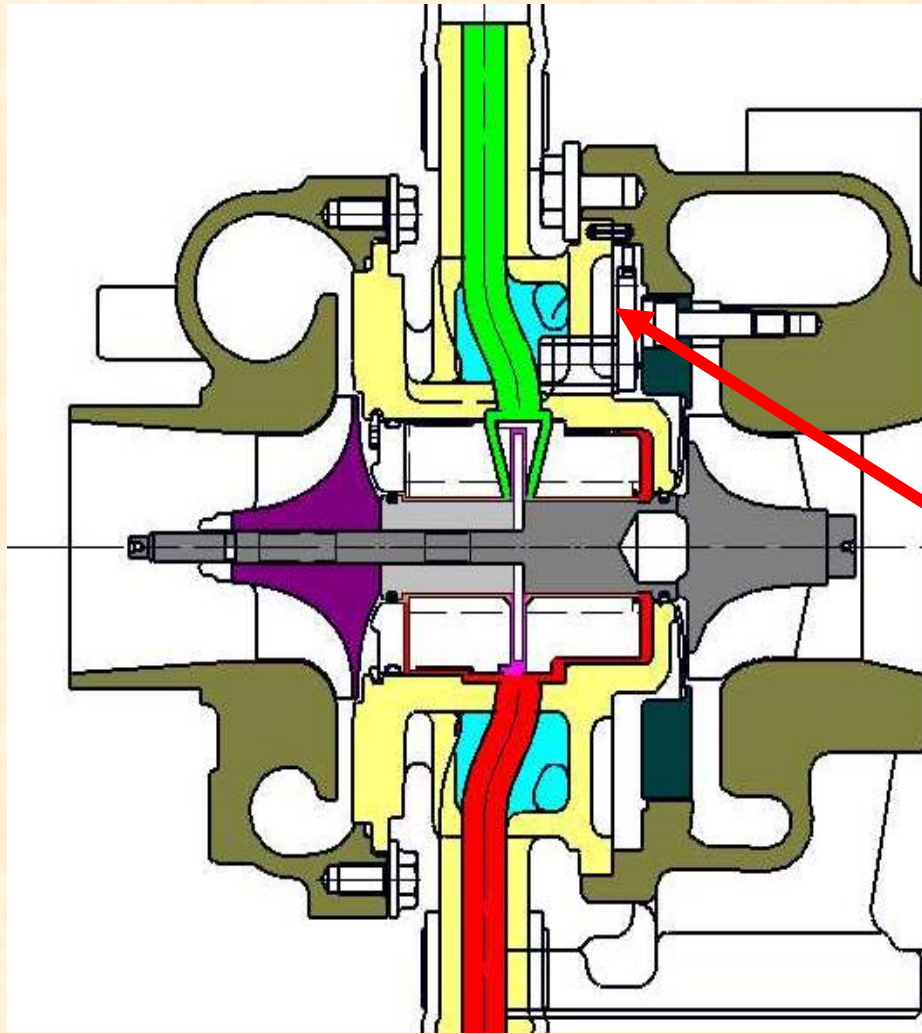
**Efficient FCEV!!**

\*Photos from SAE Int. J. Alt. Power 2016 and EHEC 2018

# PV turbocharger system

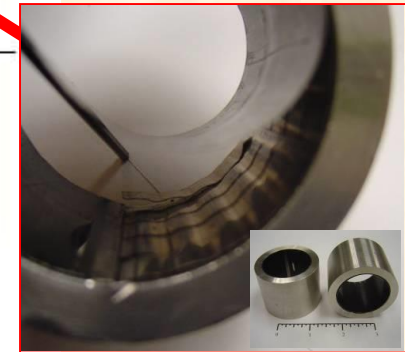


conventional  
Oil-Bearing



Honeywell (2007)  
Borg & Warner (2012)

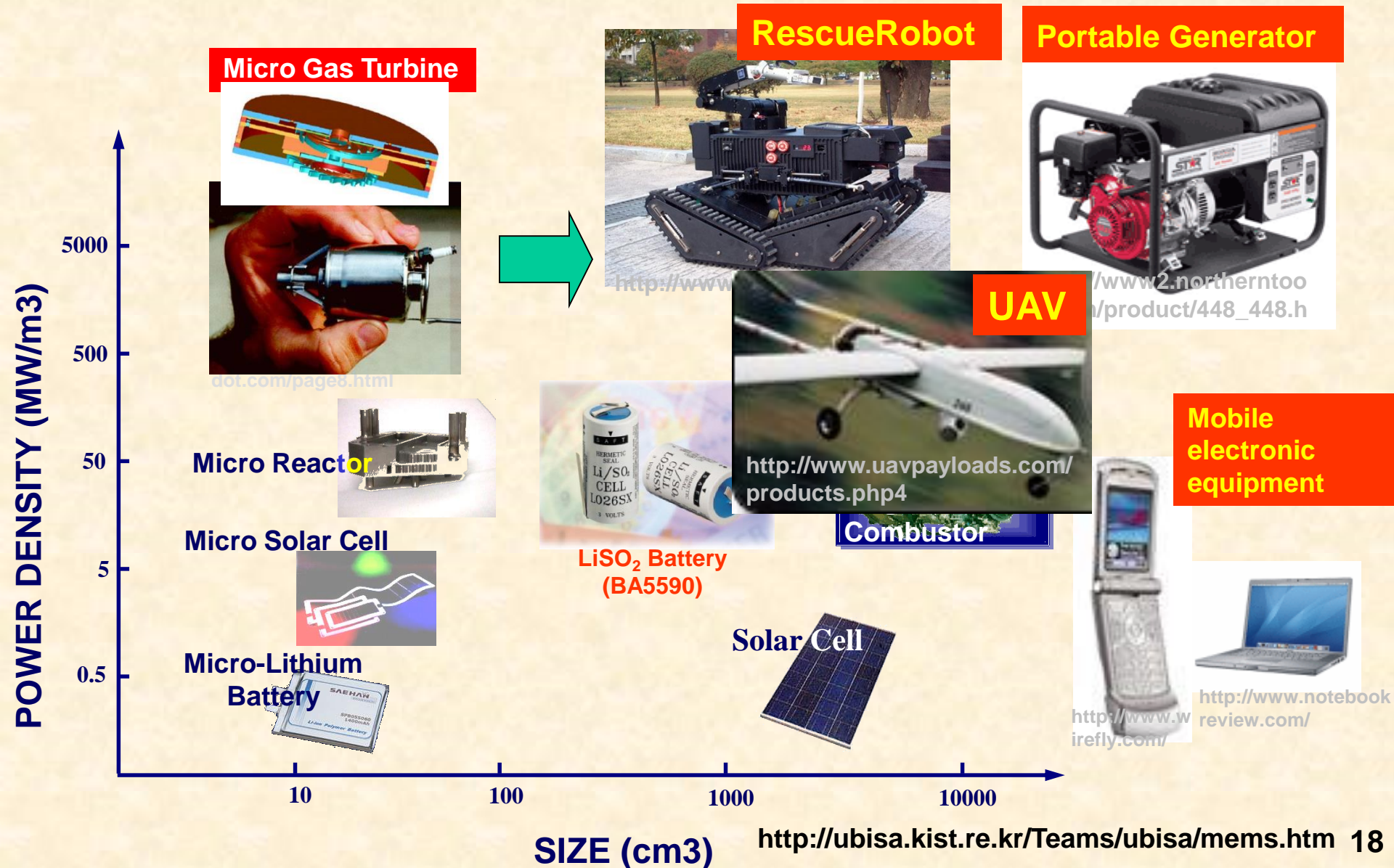
Foil Bearings  
chosen



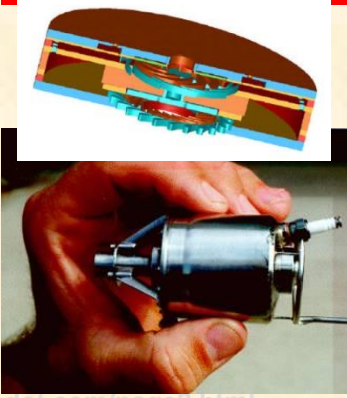
Hybrid vehicles: 50 miles/gal & 0 NOx → fuel cells.  
Issues are high temperature, materials and NL rotordynamics



# Application of Meso/MEMS MTM



**Micro Gas Turbine**



**Rescue Robot**



**Portable Generator**



**UAV**



**Mobile electronic equipment**



**Micro Reactor**



**Micro Solar Cell**



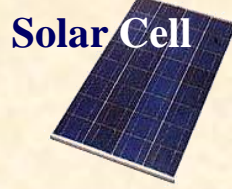
**Micro-Lithium Battery**



**LiSO<sub>2</sub> Battery (BA5590)**



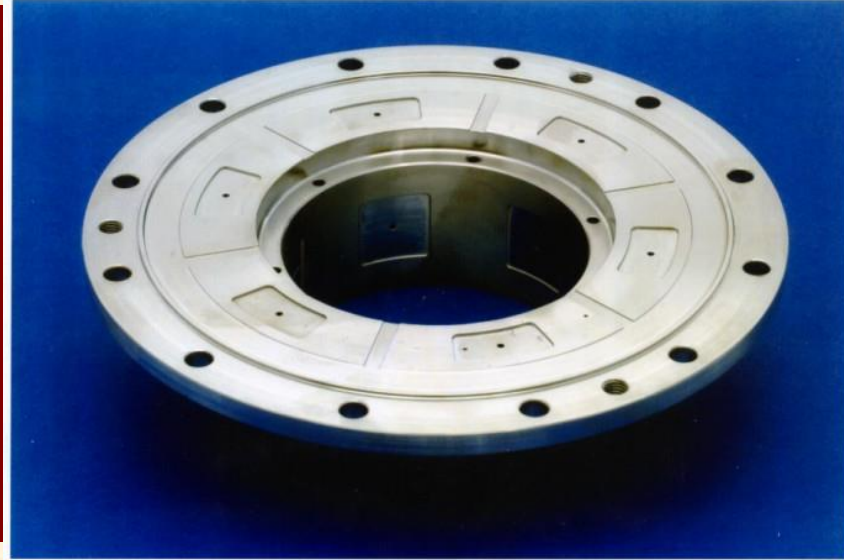
**Combustor**



**Solar Cell**



# Fluid Film Bearings



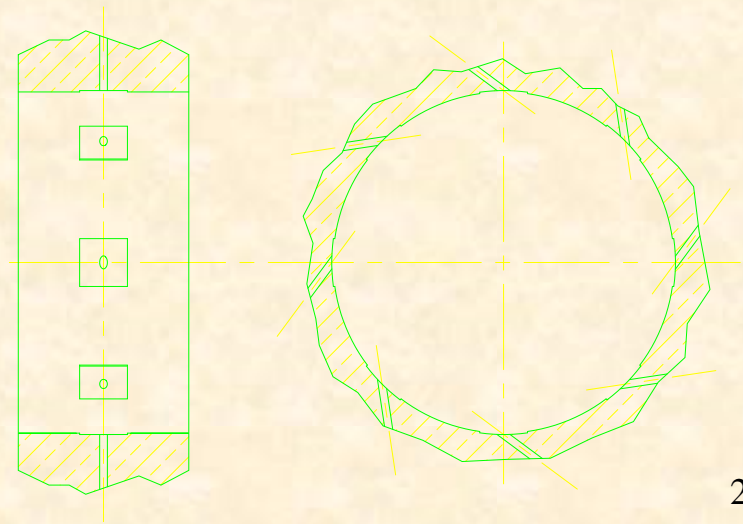
# Fluid Film Bearings

**Fluid film bearings** produce low friction between solid surfaces in relative motion and generate a load support for mechanical components.

The lubricant or fluid between the surfaces may be a liquid, a gas or even a solid (coating).

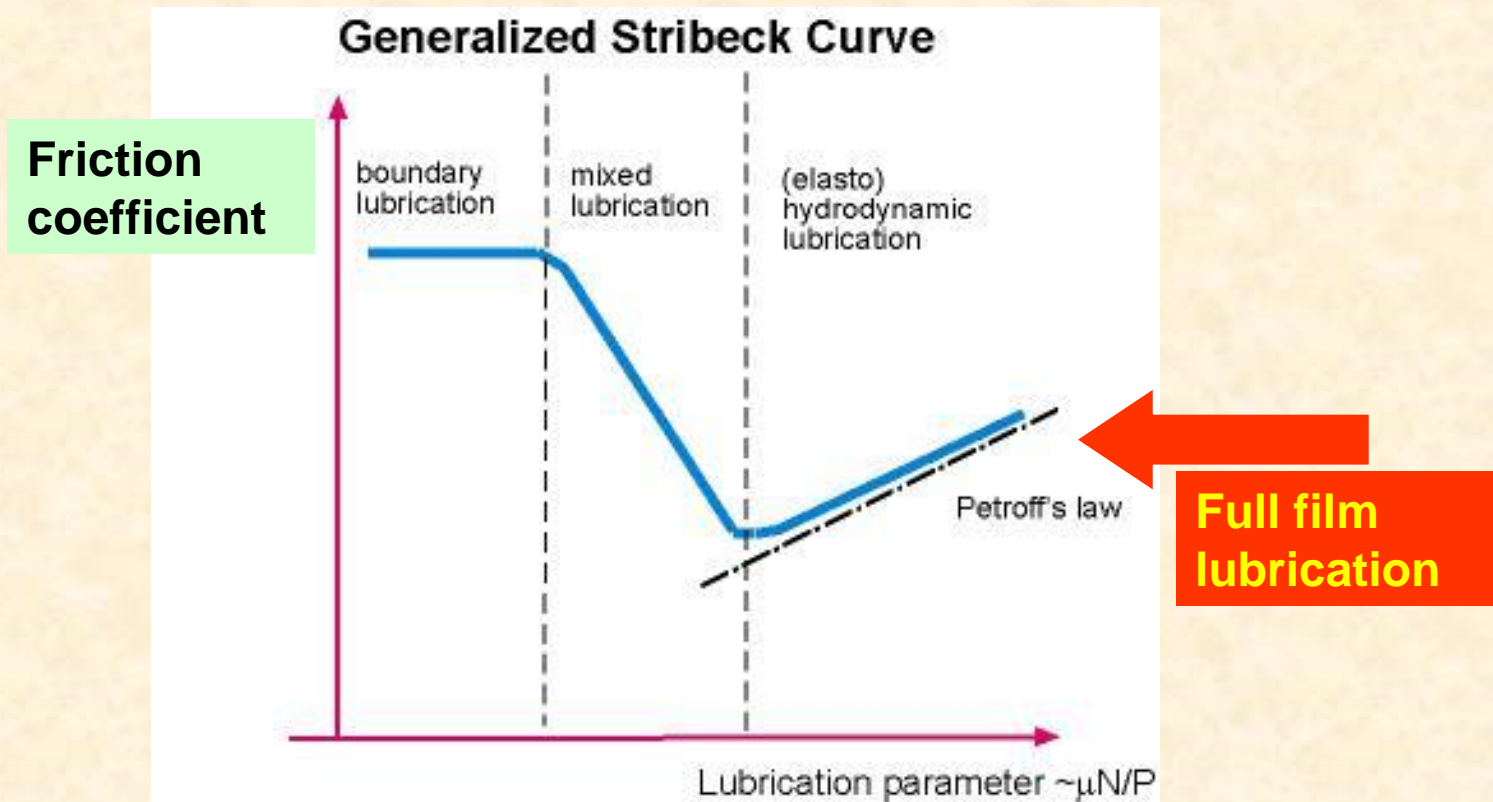
**Fluid film bearings**, if well designed, support static and dynamic loads, affecting the dynamic performance of rotating machinery.

Basic operational principles are **hydrodynamic, hydrostatic or hybrid** (a combination of the former two).



# Bearings: Friction and Lubrication

**Bearings** enable **smooth (low friction) motion** between solid surfaces in **relative motion** and, **if well designed**, support static and dynamic loads. **Bearings** affect the dynamic performance of machinery (reliability and availability).



Surface velocity x viscosity  
Specific pressure

# Hydrodynamic Bearings

**Hydrodynamic pressure** generated by relative motion between two mechanical surfaces with a particular “wedge like” shape

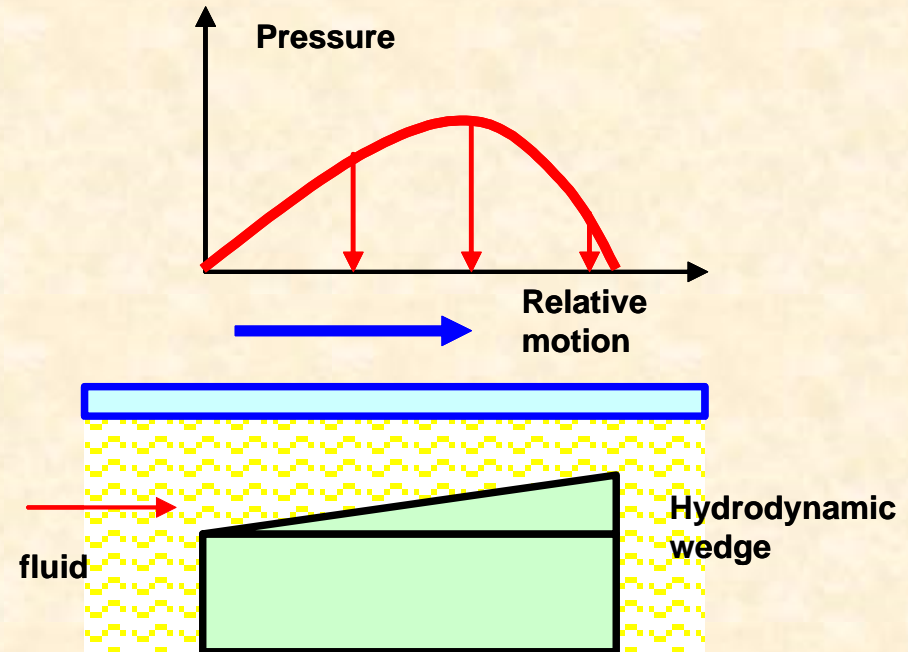
## Advantages

Do not require external source of pressure. Fluid flow is dragged into the convergent gap in the direction of the surface relative motion.

Support heavy loads. The load support is a function of the lubricant viscosity, surface speed, surface area, film thickness and geometry of the bearing.

Long life (infinite in theory) without wear of surfaces.

Provide stiffness and damping coefficients of large magnitude.



**Slider bearing**

Schematic view of hydrodynamic (self-acting) fluid film bearing

# Hydrodynamic Bearings

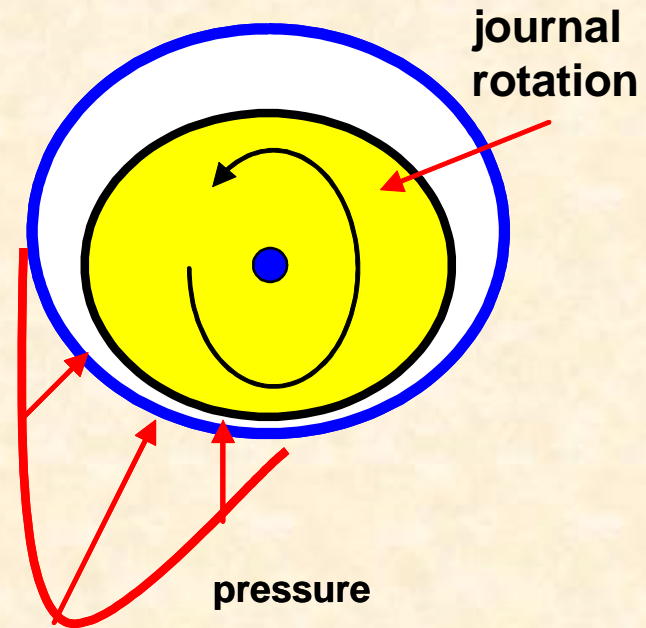
## Disadvantages

Thermal effects affect performance if film thickness is too small or available flow rate is too low.

Require of surfaces' relative motion to generate load support.

Induce large drag torque (power losses) and potential surface damage at start-up (before lift-off) and touch down.

Potential to induce **hydrodynamic instability**, i.e. loss of effective damping for operation well above critical speed of rotor-bearing system

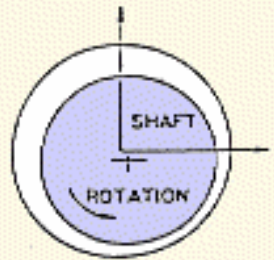


**Plain journal bearing**

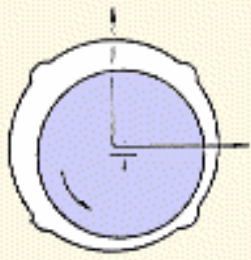
Schematic view of hydrodynamic (self-acting) journal bearing



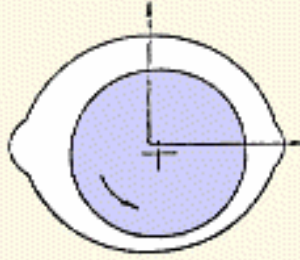
# Examples of hydrodynamic bearings



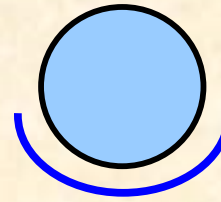
PLAIN JOURNAL



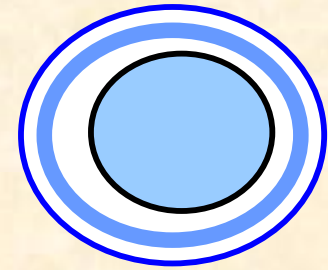
FOUR AXIAL GROOVE



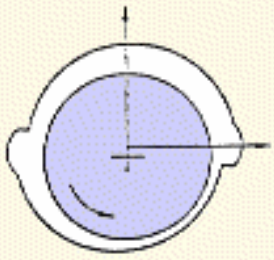
ELLIPTICAL



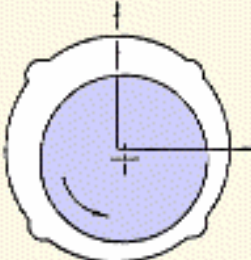
PARTIAL ARC JOURNAL BEARING



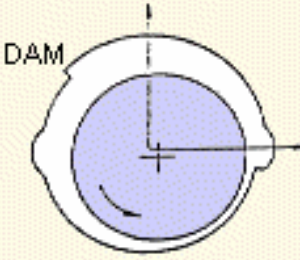
FLOATING RING JOURNAL BEARING



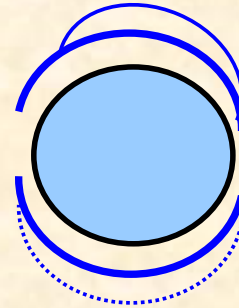
OFFSET HALF



FOUR-LOBE



PRESSURE DAM



PRESSURE DAM JOURNAL BEARING

Top half

Bottom half

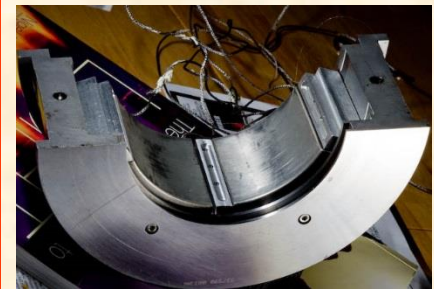
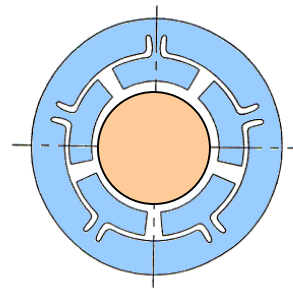
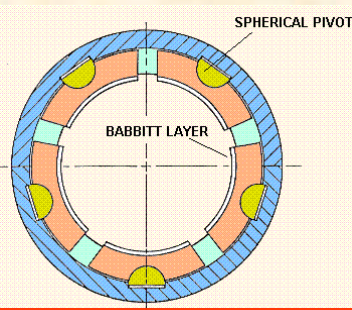
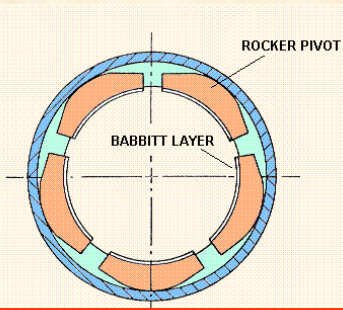


Dam



Groove

Tilting pad bearings



Typical cylindrical journal bearings

# Hydrostatic Bearings

External source of pressurized fluid forces lubricant to flow between two surfaces, thus enabling their separation and the ability to support a load without contact.

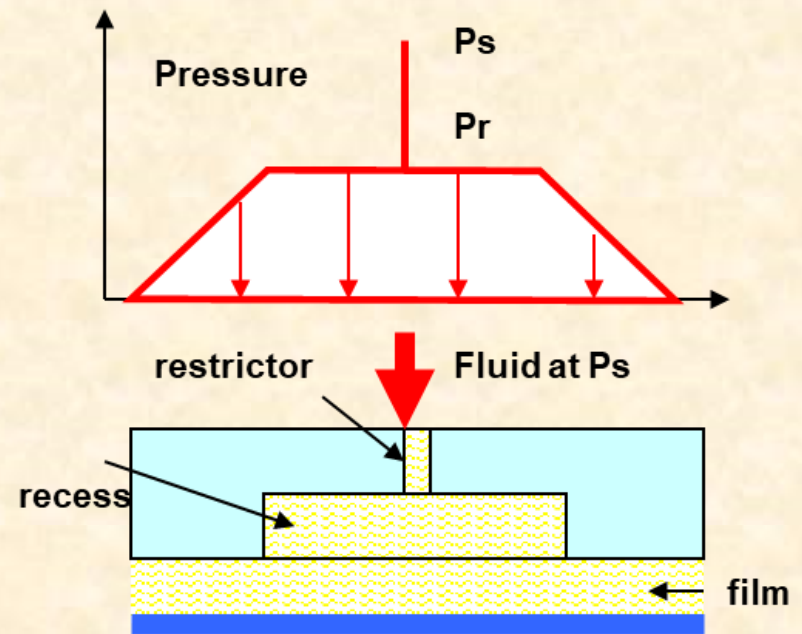
## Advantages

Support very large loads. The load support is a function of the pressure drop across the bearing and the area of fluid pressure action.

Load does not depend on film thickness or lubricant viscosity.

Long life (infinite in theory) without wear of surfaces

Provide stiffness and damping coefficients of very large magnitude.  
Excellent for exact positioning and control.



Schematic view of hydrostatic/ hydrodynamic journal bearing

# Hydrostatic Bearings

## Disadvantages

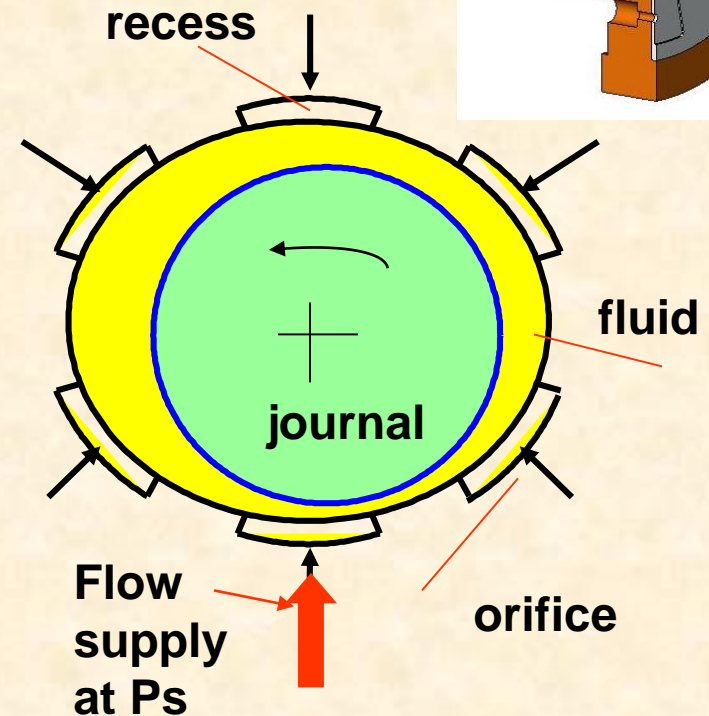
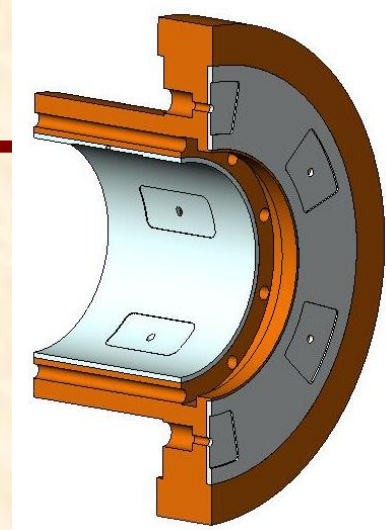
Require ancillary equipment. Larger installation and maintenance costs.  
Need of fluid filtration equipment. Loss of performance with fluid contamination.

Penalty in power consumption: pumping losses.

Limited **LOAD CAPACITY**  $\sim f(P_{\text{supply}})$

Potential to induce **hydrodynamic instability** in hybrid mode operation.

Potential to show **pneumatic hammer instability** with compressible fluids, i.e. loss of damping at low and high frequencies of operation due to compliance and time lag of trapped fluid volumes



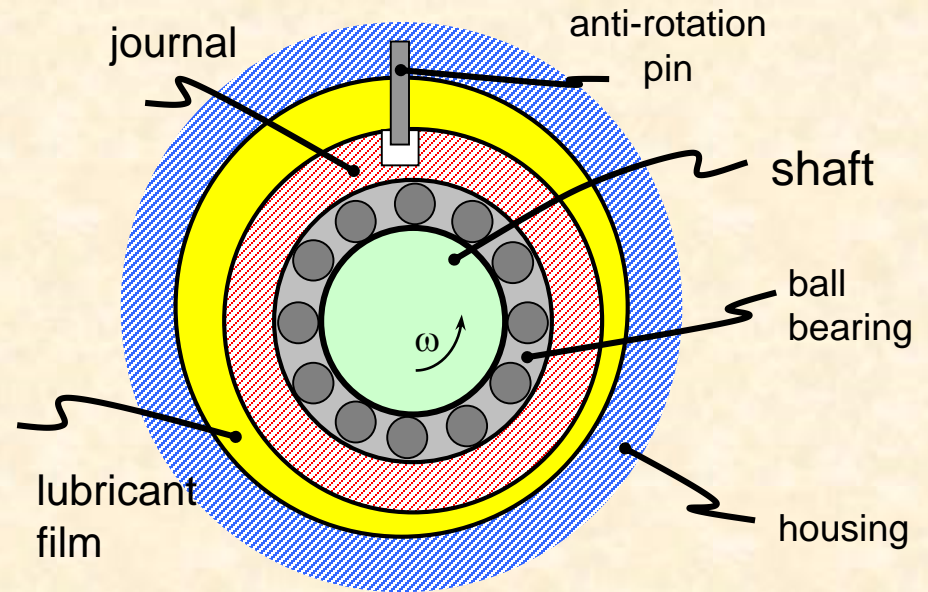
Schematic view of hydrostatic/  
hydrodynamic journal bearing

# Squeeze Film Dampers

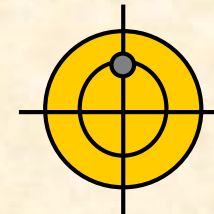
**Normal surface motions** can also generate hydrodynamic pressures in the thin film separating two surfaces.

The squeeze film action works effectively only for compressive loads, i.e. those forcing the approach of one surface to the other.

**Squeeze film dampers** are routinely used to reduce vibration amplitudes and isolate structural components in gas jet engines, high performance compressors, and occasionally in water pumps.



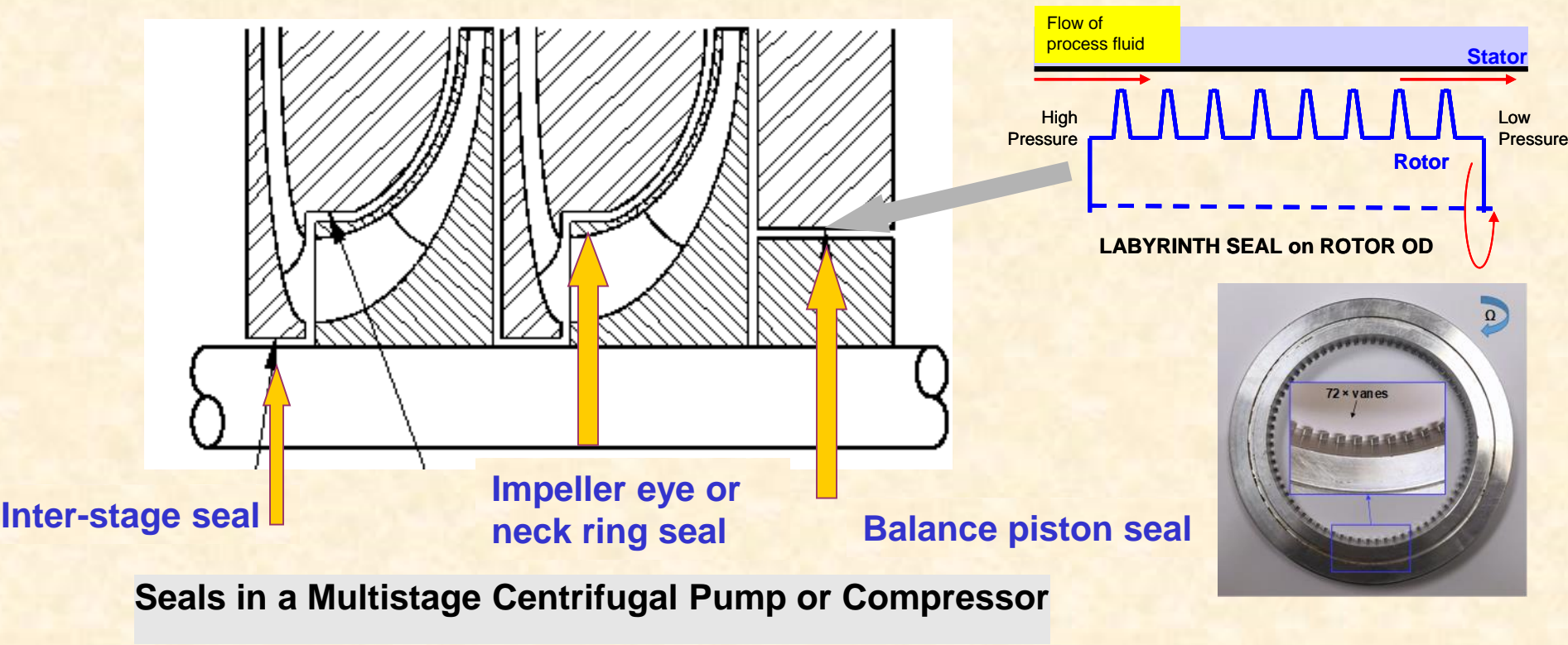
Typical squeeze film damper (SFD) configuration





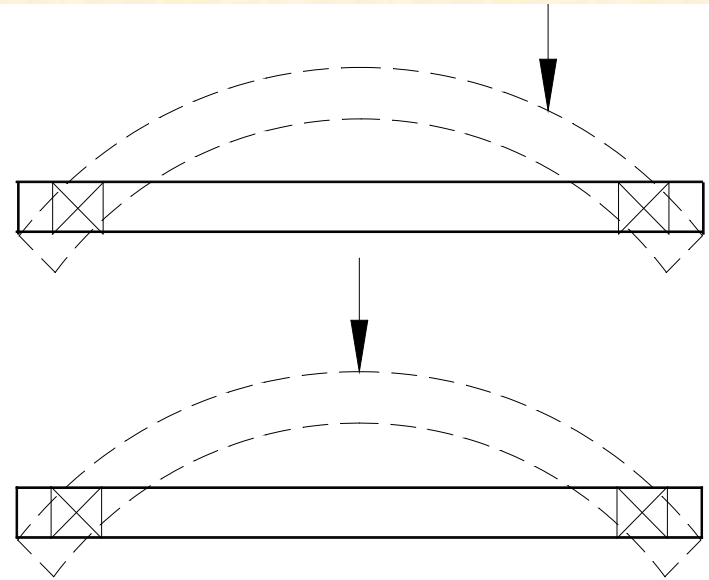
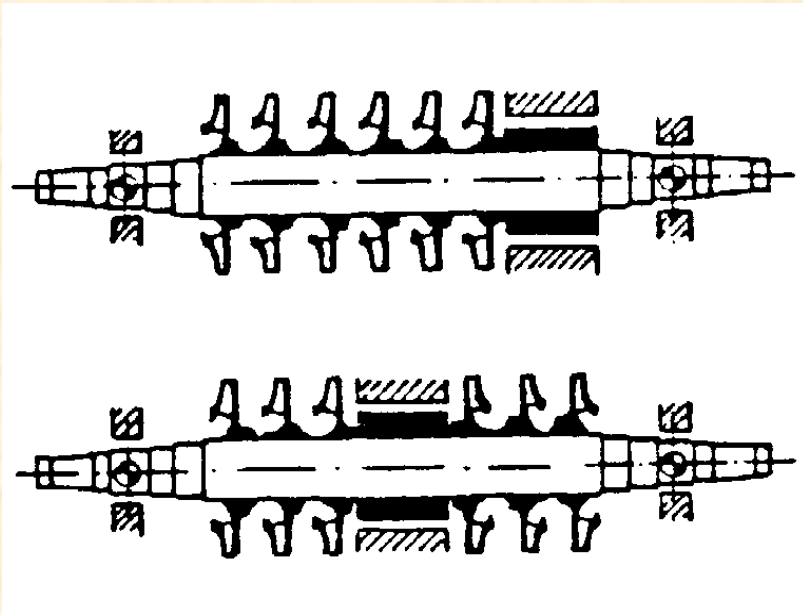
# Annular Pressure Seals

**Seals** (annular smooth, labyrinth or honeycomb) separate regions of high pressure and low pressure and their principal function is to **minimize the leakage** (secondary flow); thus improving the overall efficiency of a TM extracting or delivering power to a fluid. **Seals have larger clearances than load carrying bearings.**



Seals in a Multistage Centrifugal Pump or Compressor

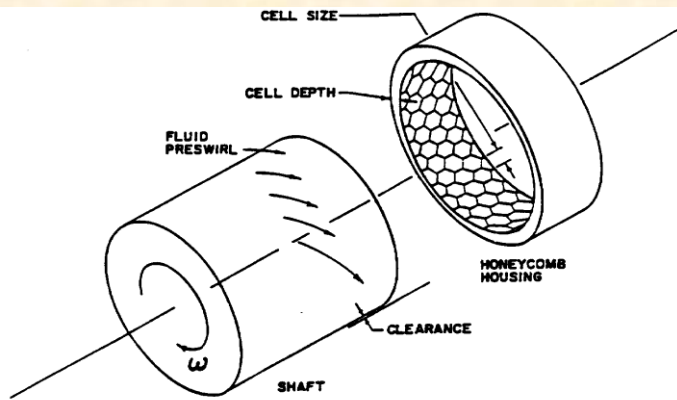
# Annular Pressure Seals



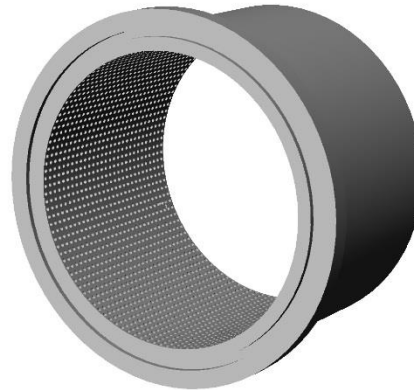
Straight-Through and Back-to-back Compressor Configurations and 1st Mode Shapes

Due to their relative position within a rotor-bearing system, **seals** modify sensibly the system dynamic behavior. **Seals** typically "see" large amplitude rotor motions. This is particularly important on **back-to-back compressors** and **long-flexible multiple stage pumps**.

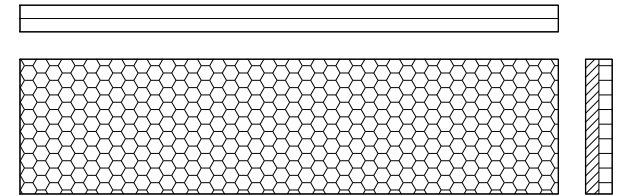
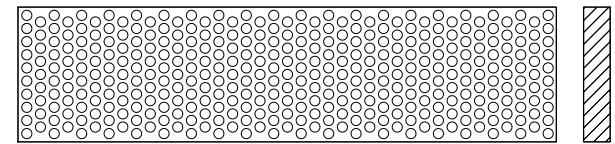
# Damper Seals



Round hole-pattern seal

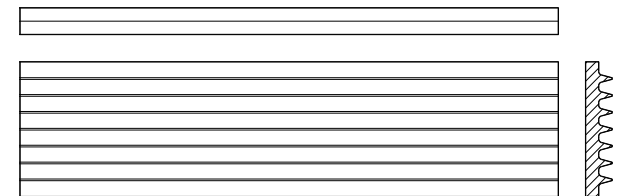


Hole-Pattern Seal



Honeycomb Seal

Unwrap ←



Labyrinth Seal

Surface textured seals for turbopumps

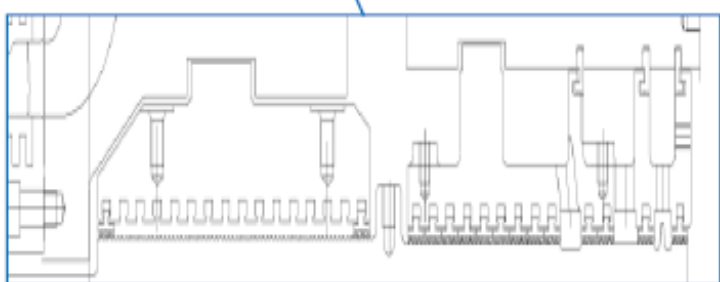
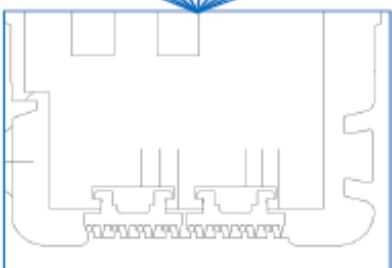
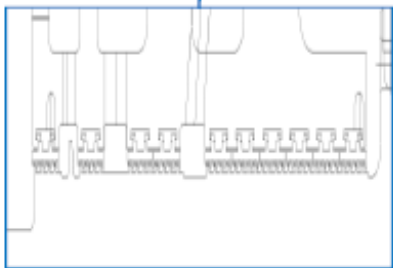
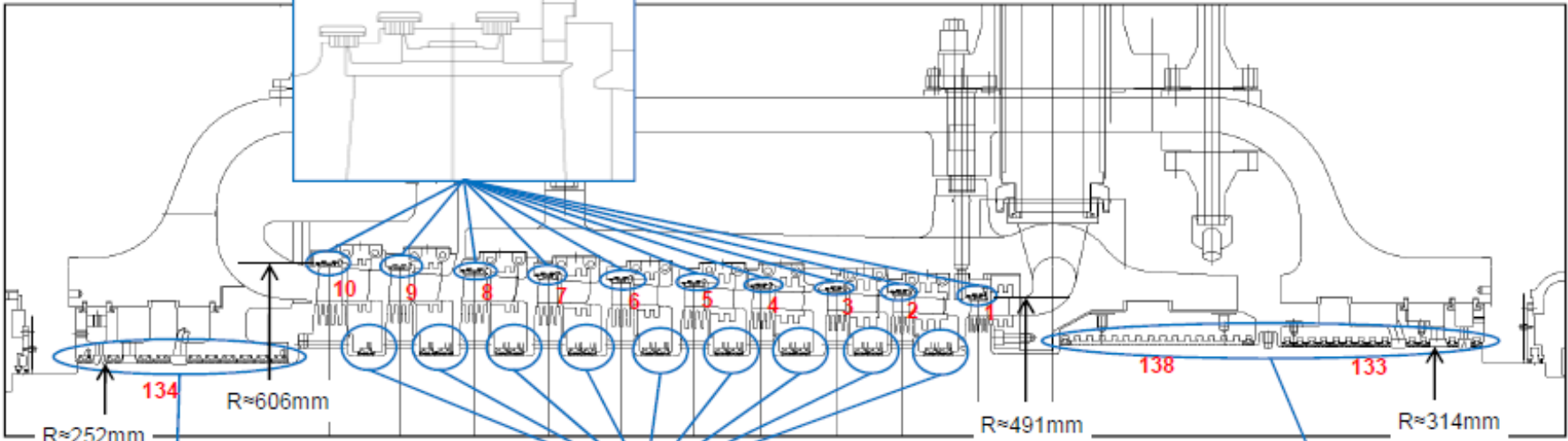
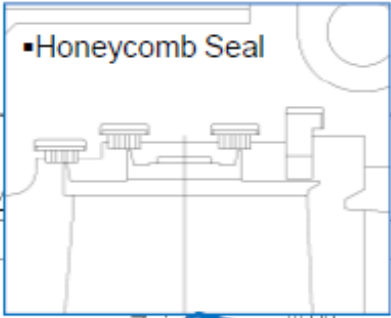
Intentionally roughened stator surfaces (macro texturing) reduce the impact of undesirable cross-coupled dynamic forces and improve seal stability.

Annular seals acting as **Lomakin bearings** could be support elements (damping bearings) for cryogenic turbopumps as well in process fluid pumps & high pressure compressors

# Seals in a high pressure steam turbine

50Hz 660MW - HP turbine

Where are the seals?



•Labyrinth Seal

•Labyrinth Seal

•Labyrinth Seal

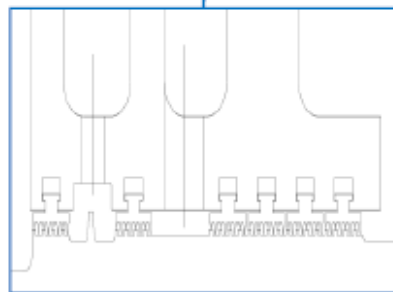
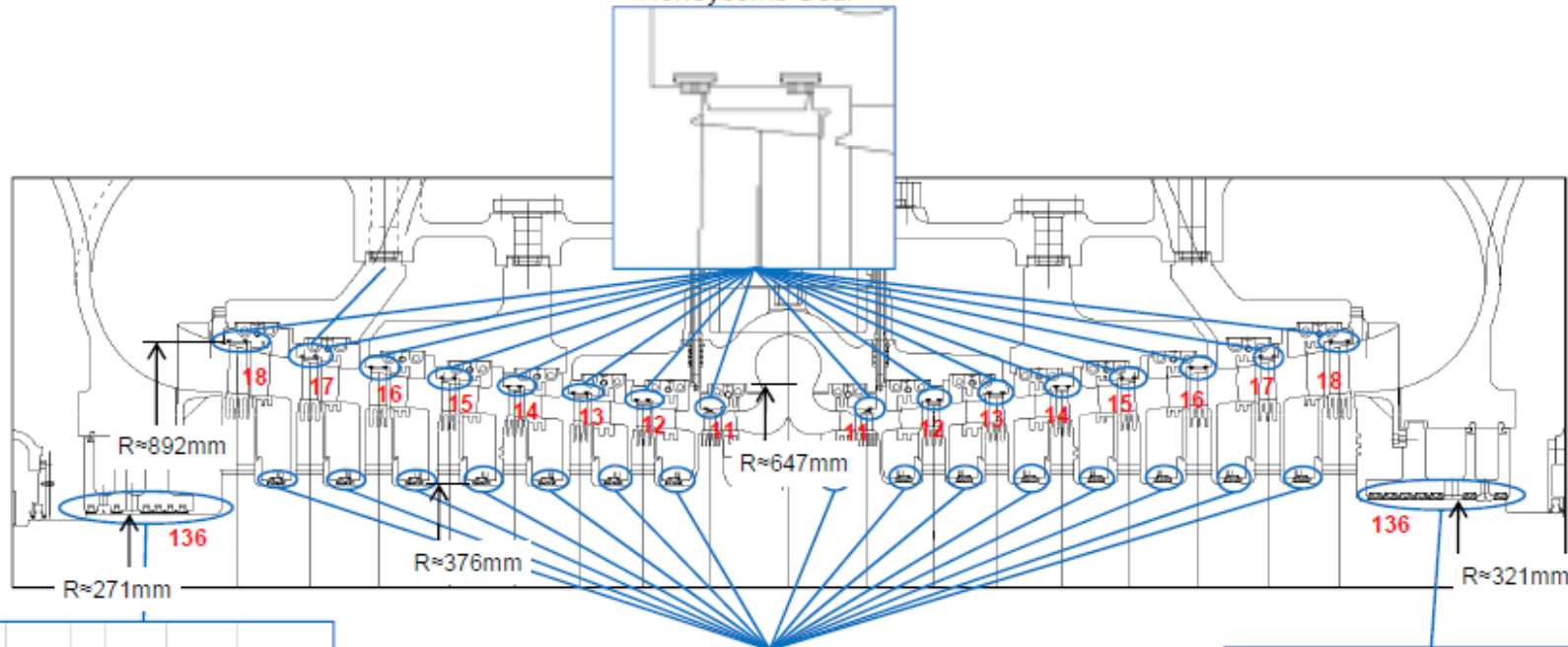
Note) 1, 2, 3, 4, 5, 6, 7, 8, 133, 134, 138 : Seal location



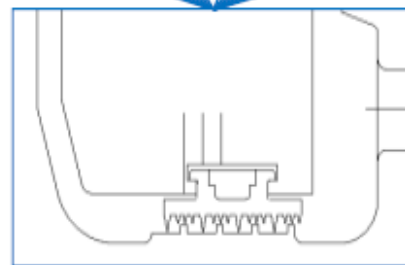
# Seals in an Intermediate pressure steam turbine

▪50Hz 660MW – IP turbine

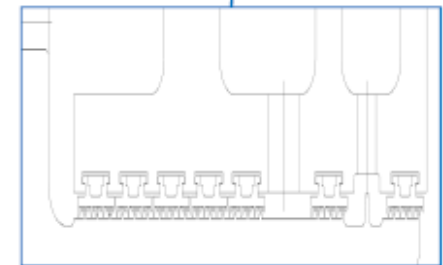
▪Honeycomb Seal



▪Labyrinth Seal



▪Labyrinth Seal



▪Labyrinth Seal

Note) 11, 12, 13, 14, 15, 16, 17, 18, 136 : Seal location

# ME626 Course Objectives

- 1. To learn about the physical concepts and mathematical models for the analysis and design of fluid film bearings and seals.**
- 2. To acquire knowledge based on the detailed review of the literature on fluid film lubrication and rotordynamics.**
- 3. To identify the mechanical effects of importance on the static and dynamic forced performance of fluid film bearings.**
- 4. To learn about the effects of fluid film bearings on the rotordynamics of turbomachinery.**
- 5. To identify the future trends in applications of bearing and seal technologies and the needs for further research.**
- 6. To provide the basics of efficient computational skills for the prediction of the static and dynamic forced performance of fluid film bearings.**

LEARN MORE AT

<http://rotorlab.tamu.edu>

Questions (?)



**TURBOMACHINERY  
LABORATORY**  
TEXAS A&M ENGINEERING EXPERIMENT STATION

Luis San Andres ©

Texas A&M University **2020**