

ANNULAR GAS SEALS IN THE 21ST CENTURY: LEAKAGE, FORCE COEFFICIENTS AND ROTORDYNAMIC STABILITY

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ANNULAR GAS SEALS IN THE 21ST CENTURY

LEAKAGE, FORCE COEFFICIENTS AND ROTOR DYNAMIC STABILITY



Turbomachinery seals are engineered to maintain efficiency and power delivery by minimizing leakage. Seals also appreciably affect the system rotordynamic behavior due to their relative position within a turbomachine. The tutorial reviews the experimental record on gas seals as published in the 21st century, and gives insight on the physical models predicting leakage and dynamic force coefficients. Unlike experiences in the past century, damper seals offer a remarkable opportunity to control the leakage and tailor the rotordynamic performance and stability of modern rotating machinery.

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Luis San Andrés performed research in lubrication and rotordynamics and produced advanced technologies of hydrostatic bearings for cryogenic turbo pumps, squeeze film dampers for aircraft jet engines, and gas foil bearings for oil-free micro turbomachinery. He has been a faculty at Texas A&M University since 1990 and a researcher at the Turbomachinery Laboratory since 1985.

Luis has published over 260 peer reviewed papers in VARIOUS ASME journals and conferences. Several papers are recognized as best in various international conferences. Dr. San Andrés received the ASME-IGTI 2022 Aircraft Engine Technology Award for sustained personal creative contributions to aircraft engine technology.



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ANNULAR GAS SEALS IN THE 21ST CENTURY

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Overview of annular clearance seals

2 Bulk-flow and CFD models for seal analysis

Seals leakage & their effective clearance

4 Seals force coefficients – an appraisal of the exp record

The ultimate aim

Machine efficiency & cost of operation rely on the accurate quantification of seals' leakage over the operating speed & pressure range, and life including wear of parts.



.... but some seals are better than others!!

OUTCOME

ANNULAR GAS SEALS IN THE 21ST CENTURY

LEAKAGE, FORCE COEFFICIENTS AND ROTOR DYNAMIC STABILITY

What will you learn today?

- Types of clearance seals in turbomachinery and their characterization in terms of an effective clearance quantifying their leakage.
- Seals' force coefficients and their impact on rotordynamics and stability.
- Details on available models: accuracy and validation against test data.
- Opportunity to used seals as load bearing elements with large mechanical energy dissipation ability.

Annular clearance seals

Labyrinth seals, honeycomb seals, etc. separate regions of high pressure and low pressure. Their principal function is to minimize the leakage (secondary flow); thus improving the overall efficiency of a rotating machine extracting or delivering power to a







Common Seal Types

Count the seals in a barrel compressor.....





Count more seals in a HP steam turbine.....



Labyrinth seals

Most common type of seal

(1) TOS: all teeth on stator
(2) TOR: all teeth on rotor
(3) ILS : teeth on both rotor and stator





Labyrinth seals, one with a swirl brake

Restrict secondary flow; Affect rotor system dynamic stability.

Textured surface seals

Honeycomb seal and round hole pattern seal \rightarrow



Intentionally roughened stator surfaces (macro texturing) reduce crosscoupled dynamic forces and improve seal stability.

Damper seals provide large levels of damping to reduce vibrations and also generate large direct stiffness for added rotor support.

Models for prediction of seal leakage



Predictive tools: BFM vs. CFD

	Pros 🚺	Cons
Bulk-Flow Model (BFM)	✓ Quick✓ Easy set up	Lacks accuracy Needs empirical coefficients
Computational Fluid Dynamics (CFD)	 High fidelity No empirical coefficients required 	 Computationally expensive Requires knowledge on CFD (pre and post processing)

Available computational capability and desire for extreme fidelity push CFD analyses into common engineering practice

Bulk-Flow Model (BFM) for LS



Circumferential momentum in cavities ->

$$\frac{\partial(\rho_i U_i A_i)}{\partial t} + \frac{\partial(\rho_i A_i U_i^2)}{R_s \ \partial \theta} = -\frac{A_i}{R_s} \frac{\partial P_i}{\partial \theta} + (\tau_{r_i} a_{r_i} - \tau_{s_i} a_{s_i}) L_i$$

CFD mesh and typical output

Mesh ~8 Million nodes



Commercial CFD model options

Too many options and little insight.

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Recommend use (a) κ–ω SST (shear stress transport) model or realizable κ–ε model, both with a curvature correction function reducing model predictions sensitivity to streamlines' curvature and (shaft) rotation.





Characterization of seal leakage with an effective clearance



Effective clearance for LS

Use Neumann's equation to define a <u>modified</u> <u>flow factor</u> and to represent seal as an equivalent single tooth seal.



(a) Interlocking labyrinth seal

$$\dot{m}_{1} = \mu_{11}\mu_{21} \left(\pi DC_{r}\right) \sqrt{\frac{P_{in}^{2} - P_{1}^{2}}{R_{g}T}} = \frac{R_{g}T}{R_{g}T}$$
$$\dot{m}_{2} = \mu_{12}\mu_{22} \left(\pi DC_{r}\right) \sqrt{\frac{P_{1}^{2} - P_{2}^{2}}{R_{g}T}} = \frac{R_{g}T}{R_{g}T}$$
$$\dot{m}_{N} = \mu_{1N}\mu_{2N} \left(\pi DC_{r}\right) \sqrt{\frac{P_{N}^{2} - P_{out}^{2}}{R_{g}T}}$$





C_{eff} is an effective clearance.

Modified Flow Factor $\overline{\Phi}$

 $\sqrt{1-PR^2}$ $DP_{in}\sqrt{1-PR^2}$

$$\dot{m} \sim \left(\pi D C_{eff}\right) \sqrt{\frac{\left(P_{in}^{2} - P_{out}^{2}\right)}{R_{g}T}} = \left(\pi D C_{eff}\right) \frac{P_{in}}{\sqrt{R_{g}T}} \sqrt{1 - \left(\frac{P_{out}}{P_{in}}\right)^{2}}$$

$$\boxed{\phi = \dot{m}\sqrt{T}/(P_{in}D)}$$

$$\boxed{\phi = \dot{m}\sqrt{T}/(P_{in}D)}$$

$$\boxed{\Phi} = \frac{\dot{m}\sqrt{T}}{\sqrt{T}} \sim \pi C_{eff} \frac{1}{\sqrt{T}}$$

 C_{eff} = effective clearance = $c_d C_r$

Kg

 $c_d \leftarrow \text{loss coefficient.}$

Stator

Leakage for interlocking labyrinth seal (ILS)





Effect of clearance on leakage

L/	D =	0.3

	Rotor Diameter, D	150 mm
Seal	Overall length, L	45 mm
Geometry	Radial clearance, Cr	0.13, 0.2, 0.3 mm
	Width at tip, <i>B</i> _t	0.25 mm
	Density, ρ @25 °C	1.2 kg/m ³
	Temperature, T	297 K
	Sound speed, <i>a</i> s	314 m/s
۸ir	Kinematic viscosity, v	1.86×10 ⁻⁵ m ² /s
Properties	Inlet pressure, Pin	292 ~ 1,150 kPa
Fioperite	Pressure ratio, <i>PR</i> = <i>P</i> out/ <i>P</i> in	0.3, 0.5, 0.8
	Rotor speed, Ω	0, 3, 5, 7.5, 10 krpm
	$\frac{1}{2} D \Omega_{max}$	0 ~ 79 m/s



Five teeth ILS Clearance = 0.3 mm, 0.2 mm & 0.13 mm

L. San Andrés, J. Yang and R. Kawashita, **2021**, "On the Effect of Clearance on the Leakage and Cavity Pressures in an Interlocking Labyrinth Seal Operating With and Without Swirl Brakes: Experiments and Predictions," ASME J. Eng. Gas Turbines Power, 143 (3).

ILS Leakage vs. pressure difference



Three shaft speeds and three Measured vs. CFD and BFM $PR=(P_{out}/P_{in})$

Leakage ~ ΔP and not affected by shaft speed. CFD & BFM agree + well with measurements.

Modified flow factor for test ILS



 c_d (a fraction of ILS clearance) is not a function of pre-swirl velocity, pressures P_{in} & P_{out} , rotor speed, or clearance!

Balance piston seals

Must withstand large pressure differences to balance axial thrust.



Compare leakage

LS vs. honeycomb seal vs. pocket damper seal (PDS)

Radial clearanceFPDS $C_r = 0.3 \text{ mm}$ for all seals7 6 3 4 3



Ertas, B. H., Delgado, A., and Vannini, G., **2012**, "Rotordynamic Force Coefficients for Three Types of Annular Gas Seals with Inlet Pre-swirl and High Differential Pressure Ratio," ASME J. Eng. Gas Turbines Power, **134**(4).

Pocket Damper seal (PDS)



* Gamal, A., M., Ertas, B. H., and Vance, J. M., **2007**, "High Pressure Pocket Damper Seals: Leakage Rates and Cavity Pressures," ASME J. Turbomach., **129**(10).

* Ertas, B. H., Delgado, A., and Vannini, G., **2012**, "Rotordynamic Force Coefficients for Three Types of Annular Gas Seals with Inlet Pre-swirl and High Differential Pressure Ratio," ASME J. Eng. Gas Turbines Power, **134**(4).

Seals leakage and flow factor

*P*_{out} =1.01 bar. Inlet swirl velocity = 60 m/s, and rotor speed = 15 krpm (surface speed=133 m/s), ambient Temperature.



Loss coefficient c_d =0.32 for LS, c_d =0.318 deep pocket PDS, c_d =0.304 honeycomb seal, and c_d =0.291 for shallow pocket PDS. P_{in} /P_{out}

Leakage for turbine rim seals

Compare leakage performance of four seals at high temperature.



-		Labyrinth	HALO [™] Seal
	Material	Steel	Inconel 718
	ID	167.4 mm	167.2 mm
	Length	8.40 mm	8.5 mm
	Clearance	0.51 mm	0.43 mm

Metal brush seals are a known choice, while clearance control seals are novel.

L. San Andrés and A. Anderson, **2015**, "An All-Metal Compliant Seal Versus a Labyrinth Seal: A Comparison of Gas Leakage at High Temperatures," *ASME J. Eng. Gas Turbines Power,* vol. 137 (5)

Seals leakage and flow factor at 300 °C

Pout =1.01 bar. Inlet swirl velocity = 00 m/s, and rotor speed = 3 krpm (surface speed=25 m/s), Temperature: HOT



Loss coefficient $c_d = 0.78$ for LS, $c_d = 0.49$ for brush seal, $c_d = 0.34$ for hybrid brush seal, and $c_d = 0.16$ for HALO® seal

Other advanced concept seals include the **Pressure Actuated Leaf Seal** (PALS) and finger seals.



Knowledge on seals' leakage

Comparisons of measured and predicted seals' leakage demonstrate that (well designed and engineered) annular seals have effective clearance = fraction of seal operating clearance.

A typical range is $C_e/C_r = c_d \sim 0.30 - 0.40$.

From published test data, c_d is not a function of either the inlet pressure, or the outlet pressure, or the shaft speed, or the inlet swirl, or the actual clearance!

Bulk-flow models and CFD accurately predict the leakage of annular clearance seals. CFD ~ BFM

Effect of seals on rotordynamic stability

→A review of their force coefficients



Seals and their impact on rotordynamics



Straight-Through and Back-to-back Compressors and 1st Mode Shapes

Due to their relative position within a rotor-bearing system, seals do modify a rotating system dynamic behavior. Seals typically "see" large amplitude rotor motions, important in back-to-back compressors and long-flexible multiple stage pumps.

Seals are bad actors driving unstable CCs & STs



Seals generate reaction forces



→ function of the fluid properties, geometry, flow regime, and operating conditions:

- large axial pressure gradient,
- axial development of gas circumferential speed
 → magnitude of cross-coupled (hydrodynamic) forces.

For small amplitudes of rotor lateral motion: seal forces are represented with linearized stiffness, damping and inertia force coefficients:

$$\begin{bmatrix} F_x \\ F_y \end{bmatrix} = \begin{bmatrix} K & k \\ -k & K \end{bmatrix} \begin{bmatrix} X \\ Y \end{bmatrix} + \begin{bmatrix} C & c \\ -c & C \end{bmatrix} \begin{bmatrix} \dot{X} \\ \dot{Y} \end{bmatrix} + \begin{bmatrix} M & m \\ -m & M \end{bmatrix} \begin{bmatrix} \ddot{X} \\ \ddot{Y} \end{bmatrix}$$

Gas seal effective force coefficients

X



For circular whirl motions with amplitude *r* and frequency ω

$$-\begin{bmatrix}F_{X}\\F_{Y}\end{bmatrix} = \left(K_{(\omega)} + \omega c_{(\omega)}\right)\begin{bmatrix}X\\Y\end{bmatrix} + \left(C_{(\omega)} - \frac{k}{\omega}\right)\begin{bmatrix}\dot{X}\\\dot{Y}\end{bmatrix}$$

Radial and tangential forces are

$$F_r = -K_{eff(\omega)} r; F_t = -C_{eff(\omega)} (r\omega)$$

Gas seals show coefficients that are frequency dependent.

The coefficients are also a function of shaft speed and pressure drop across seal.

where the effective stiffness and damping coefficients (*K*, *C*)_{eff} are:

$$K_{eff(\omega)} = \left(K_{(\omega)} + \omega c_{(\omega)}\right), \quad C_{eff(\omega)} = \left(C_{(\omega)} - \frac{k_{(\omega)}}{\omega}\right)$$

 $C_{eff} > 0$ is highly desirable for rotor dynamic stability. $K_{eff} > 0$ allows load carrying and rotor static stability.

Remedies to promote seal stability

Aim: to reduce cross-coupled stiffness $(k \rightarrow 0)$ without affecting sealing ability.





A series of vanes upstream a seal inlet plane to redirect the flow. Engineered since 1980

Benckert, H., and Wachter, J., **1980,** "Flow Induced Spring Coefficients of Labyrinth Seal for Applications in Rotordynamics," NASA CP-2133

 \rightarrow reduce inlet circumferential flow entering seal, hence also reducing the seal cross-coupled stiffness (k) to promote rotordynamic stability.

Shunt Injection also improves stability

INJECT AGAINST ROTATION

SWIRL CANCELING



Kanki et al. (1988)

can change the sign of the crosscoupled stiffness *k*, which increases effective damping



Implementation is more difficult!

Jure 6. Swirl Canceling Device.

Identification of force coefficients



2-DOF system for seal and support structure.



Steps to identify seal force coefficients

1) Apply Load $F=F_{\circ} sin(\omega t) \rightarrow$

Measure vectors of displacement $\mathbf{Z} = \{X, y\}^{\mathsf{T}}$, & acceleration $\mathbf{a} = \{a_x, a_y\}^{\mathsf{T}}$

2) \overline{F} , \overline{A} , \overline{Z} \leftarrow Discrete Fourier Transforms of F, a, z

3) Equation of motion $\mathbf{F} - \mathbf{M}_{h}\mathbf{A} - [\mathbf{K}_{h} + i\omega\mathbf{C}_{h}]\mathbf{Z}' = \mathbf{H}_{(\omega)}\mathbf{Z}$

[M, K, C]_h = mass, stiffness, damping of support structure

$$\mathsf{Re}(\mathsf{H}_{(\omega)}) \to \mathsf{K}_{(\omega)}$$
$$\mathsf{Im}(\mathsf{H}_{(\omega)}) \to \omega \mathsf{C}_{(\omega)}$$

Dynamic Stiffness

Proportional to Damping

$$C_{eff} = C - k/\omega = [Im(H_{xx}) - Re(H_{xy})]/\omega$$

Effective Damping

Bulk flow and CFD models for prediction of force coefficients



Rotor speed Ω

Whirl frequency ω



Calculate flow field to obtain forces along radial and tangential direction: f_r , f_t

BFM Bulk-flow model: typical governing equations



BFM procedure for predicting seal performance



CFD Coordinate transformation

Use a rotating coordinate frame to model a periodic (whirling) flow into a steady state flow.



Gas seals produce frequency dependent force coefficients \rightarrow analysis requires of multiple flow solutions at +/- whirl frequencies to extract seal force coefficients.

CFD Mesh deformation method



 $\Box \text{Rotor displaces with specified } X, Y \rightarrow Y$

□ Find transient response over a full period (*T*) delivers seal forces, f_r and f_t , on rotor surface.



CFD procedure for predicting seal performance



Review of experimental record on seal force Coefficients

→ Do we know everything we should know?



Representation of coefficients

Normalize coefficients with

Pressure drop ($P_{in} - P_{out}$), Projected area (*LD*), Clearance C_r , Shaft speed Ω

$$\begin{pmatrix} K^* & k^* \end{pmatrix} = \begin{pmatrix} K & k \end{pmatrix} \frac{C_r}{(P_{in} - P_{out}) LD}$$
$$\begin{pmatrix} C^* & c^* \end{pmatrix} = \begin{pmatrix} C & c \end{pmatrix} \frac{C_r \Omega}{(P_{in} - P_{out}) LD}$$

Whirl frequency ratio: $\frac{WFR}{C\omega} = \frac{k}{C\omega}$

Cross-over frequency: $C_{eff(\omega_{break})} = 0 \rightarrow \omega_{cross-over} = \left(\frac{k}{C}\right)$

For comparison amongst known forced performance for various seal types.

Fully partitioned pocket damper seal (FPDS)



Comparison vs. honeycomb seal and labyrinth seal

Read paper for other examples

A. Delgado, L. San Andres, J. Thiele, J. Yang and F. Cangioli, **2020,** "Rotordynamic Performance of a Fully-Partitioned Damper Seal: Experimental and Numerical Results," Proc. of the 49th Turbomachinery Symposium, Houston (Also ASME GT2022-83164).

2020

FPDS vs. honeycomb seal and labyrinth seal

Diamotor-115 mm	FPDS	HS	LS
	mm	mm	mm
Seal length, L	85	86	86
Cavity/Cell width	13.3/5.7	0.79	4.3
Cavity/Cell depth	3.6	3.2	4.3
Number of blades	8	N/A	20

Radial clearance (3 seals) $C_r = 0.2 \text{ mm}$

10 krpm: surface speed 60 m/s

LARGE $\triangle P$ $P_{in} = 70$ bar, $P_{out} = 35$ bar



HS and LS data:

Sprowl, T. B., **2003**, "A Study of the Effects of Inlet Pre-swirl on the Dynamic Coefficients of a Straight-bore Honeycomb Gas Damper Seal," Master thesis, Texas A&M University, College Station, TX.

Picardo, A. M., 2003, "High Pressure Testing of See Through Labyrinth Seals," Master thesis, Texas A&M University, College Station, TX.

Direct and cross stiffnesses



LS offers negligible force coefficients. Honeycomb seal (HCS) shows largest *K* that grows with frequency (a third bearing?)

Direct and effective damping



Honeycomb seal (HCS) and PDS show +++ larger damping than LS, in particular at low frequencies. HCS and PDS show same effective damping for frequencies = synchronous or higher. Cross-over frequency is low ~ 0.01 of running speed.

PDS BFM and CFD predictions

 $\Delta P = 35$ bar, rotor speed = 10 krpm, low pre-swirl ratio



Compared to test data, **BFM does** better than CFD to predict direct & cross-stiffnesses (K,k). CFD does better for direct damping (C). **Methods deliver** more or less C_{eff} than test data.

Knowledge on seals' force coefficients

Rotor whirl frequency affects the forced response of gas damper seals (honeycomb & pocket damper seals). Tests and predictions show seal "stiffness hardening" and loss of damping at high frequencies.

Large direct stiffness (*K*>>0) enable to design/operate seals as load bearing elements and affecting placement of critical speeds.

Damper seals offer large effective damping ($C_{eff} >> 0$) with break frequencies at a fraction of operating speed.

Bulk-flow models and CFD do not always predict accurately force coefficients of gas annular seals.

Rules of thumb

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The review of the experimental record show that the direct stiffness and effective damping of damper seals can be (safely) estimated from

Short length $K \sim \begin{bmatrix} 0.05 \rightarrow 0.10 \end{bmatrix} \frac{\left(P_{in} - P_{out}\right) LD}{C_{r}}; C_{eff} \sim \begin{bmatrix} 0.04 \rightarrow 0.12 \end{bmatrix} \frac{\left(P_{in} - P_{out}\right) LD}{C_{r}\Omega}$ Swirl ratio decreases

Seal with incompressible fluid

$$K \sim \left[0.20 \rightarrow 0.40\right] \frac{\left(P_{in} - P_{out}\right)LD}{C_r}; \ C_{eff} \sim \left[0.04 \rightarrow 0.08\right] \frac{\left(P_{in} - P_{out}\right)LL}{C_r\Omega}$$

The road ahead

To seal or not to seal....



The bottom line...

The experimental record, field practice & physical models (CFD & BFM) show DAMPER SEALS offer a much better dynamic forced response than labyrinth seals.



- Damper seals can be designed to reduce synchronous amplitude rotor motions and to control placement of critical speeds.
- Novel developments include 3D-configurations ADM (printed) with greater damping & stiffness coefficients.

A Texas blue sky lights The Turbo Lab





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